Attorney's Docket No. 003982.P002   PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Patent Application of:)
)
    Sudhir Mohan, et al.   )   Examiner: Not Yet Assigned
)
Application No.: 09/312,255   )   Art Unit: 2176
)
Filed: May 14, 1999   )
)
For: APPLICATIONS AND SERVICES   )
      SUPPORTED BY A CLIENT-SERVER   )
      INDEPENDENT INTERMEDIARY   )
      MECHANISM   )

Assistant Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449

together with copies of the documents cited on that form. It is respectfully

requested that the cited documents be considered and that the enclosed copy of

Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to

indicate such consideration and a copy thereof returned to applicant(s).

FIRST CLASS CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service
as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner
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Pamala Stephenson
(Typed or printed name of person mailing paper or fee)

Pamala Stephenson
(Signature of person mailing paper or fee)

4-4-02
(Date signed)

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

___X___ 37 C.F.R. §1.97(b).

_____ 37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is <u>one</u> of the following:

_____ A statement pursuant to 37 C.F.R. §1.97(e) <u>or</u>

_____ A check for $<u>180.00</u> for the fee under 37 C.F.R. § 1.17(p).

_____ 37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:

(1) A statement pursuant to 37 C.F.R. §1.97(e); and

(2) A check for $<u>180.00</u> for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: __4/4__, 2002

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           Date of Deposit

___Pamela Stephenson___
    Name of Person Mailing Correspondence

___Pamela Stephenson___ ___4-4-02___
        Signature   Date

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APR 1 2 2002

Technology Center 2100

Serial/Patent No.: **09/312,255**   Filing/Issue Date: **May 14, 1999**

Client: **Pivia**

Title: **APPLICATIONS AND SERVICES SUPPORTED BY A CLIENT-SERVER INDEPENDENT INTERMEDIARY MECHANISM**

BSTZ File No.: **003982.P002**   Atty/Secty Initials: **JAS/pjs**

Date Mailed: **4-4-02**   Docket Due Date: *********

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- ☐ Amendment/Response (_____ pgs.)
- ☐ Appeal Brief (_____ pgs.) (in triplicate)
- ☐ Application - Utility (_____ pgs., with cover and abstract)
- ☐ Application - Rule 1.53(b) Continuation (_____ pgs.)
- ☐ Application - Rule 1.53(b) Divisional (_____ pgs.)
- ☐ Application - Rule 1.53(b) CIP (_____ pgs.)
- ☐ Application - Rule 1.53(d) CPA Transmittal (_____ pgs.)
- ☐ Application - Design (_____ pgs.)
- ☐ Application - PCT (_____ pgs.)
- ☐ Application - Provisional (_____ pgs.)
- ☐ Assignment and Cover Sheet
- ☒ Certificate of Mailing
- ☐ Declaration & POA (_____ pgs.)
- ☐ Disclosure Docs & Orig & Copy of Inventor's Signed Letter (_____ pgs.)
- ☐ Drawings: _____ # of sheets includes _____ figures

- ☐ Express Mail No.: _____
- ☐ _____ Month(s) Extension of Time
- ☒ Information Disclosure Statement & PTO-1449 (4 pgs.)
- ☐ Issue Fee Transmittal
- ☐ Notice of Appeal
- ☐ Petition for Extension of Time
- ☐ Petition for _____
- ☒ Postcard
- ☐ Power of Attorney (_____ pgs.)
- ☐ Preliminary Amendment (_____ pgs.)
- ☐ Reply Brief (_____ pgs.)
- ☐ Response to Notice of Missing Parts
- ☐ Small Entity Declaration for Indep. Inventor/Small Business
- ☐ Transmittal Letter, in duplicate
- ☐ Fee Transmittal, in duplicate

- ☐ Check No. _____
  Amt: _____
- ☐ Check No. _____
  Amt: _____

☒ Other: **Notice of Related Pending Applications and a copy of 12 cited references**

2182

Attorney's Docket No. 003982.P002   PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:)
)
    Sudhir Mohan, et al.   )   Examiner: Not Yet Assigned
)
)
Application No.:   09/312,255   )   Art Unit: 2182
)
Filed: May 14, 1999   )   **RECEIVED**
)
)   APR 1 2 2002
For: APPLICATIONS AND SERVICES   )
       SUPPORTED BY A CLIENT-SERVER   )   Technology Center 2100
       INDEPENDENT INTERMEDIARY   )
       MECHANISM   )
_____)

Assistant Commissioner for Patents
Washington, D.C. 20231

Notice of Related Pending Applications

       Applicant wants to put the Examiner on notice that the following pending
U.S. patent application may disclose and/or claim subject matter relating to the above
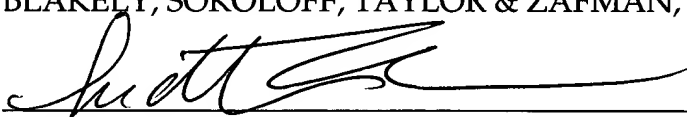captioned application:

       U.S. Patent Application Serial no. 09/312,308, entitled CLIENT-SERVER
INDEPENDENT INTERMEDIARY MECHANISM, filed on May 14, 1999, and assigned to
the same assignee as the above-identified application.

       If there are any charges, please charge Deposit Account No. 02-2666.
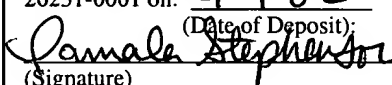
                               Respectfully submitted,

                               BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN, LLP

Dated: ____4|4____, 2002

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